

**IN THE UNITED STATES PATENT OFFICE**

In re Application of : Bradford C. Webb  
Serial No: : 08/870,199  
Filed : June 5, 1997  
Examiner : Zohreh A Fay  
Group Art Unit : 1612  
Confirmation No : 6700  
Title : SYNTHETIC VISCOELASTIC MATERIAL FOR  
OPHTHALMIC APPLICATIONS

**REPLY BRIEF**

Mail Stop Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Reply is responsive to the Examiner's Answer mailed September 11, 2009 for which the two-month period for reply is November 11, 2009 and is filed separately from Appellant's Amendment under 37 CFR 41.33(b).

**Status of Claims** begins on page 2.

**Grounds of Rejection to be Reviewed on Appeal** begins on page 3.

**Argument** pages continue on page 4.

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**I. STATUS OF CLAIMS**

**A. Total Number of Claims in Application**

There are 56 claims pending in the application, which are identified as claims 1-56.

**B. Current Status of Claims**

Claims 1-56 have been rejected.

**C. Claims Appealed**

The appealed claims are claims 1-56.

The claims set forth in the Claims Appendix (Section VIII) of the Appellant's Appeal Brief filed December 13, 2007 reflect all amendments to the claims made to date by Appellant in this matter. Appellant has filed herewith an Amendment under 37 CFR 41.33(b) requesting cancellation of claims 13-23 in view of the indication in the Examiner's Answer that claims 1-12 and 24-54 were considered allowable.

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## **II. GROUND OF REJECTION TO BE REVIEWED ON APPEAL**

The grounds of rejection to be reviewed on appeal are as set forth in the Examiner's Answer mailed September 11, 2009. No additional grounds of rejection were made in the Examiner's Answer. Thus, the grounds of rejection to be reviewed are as follows:

- A. Whether claim 13 was improperly broadened by amendment under 35 U.S.C. § 251.**
- B. Whether claims 13-23 are unsupported by the specification under 35 U.S.C. § 112, first paragraph.**

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### **III. ARGUMENT**

#### **A. Reissue Application Claim 13 is Properly Amended**

The Examiner has failed to provide evidence that the deleted claim 13 limitation “the series including a final filter having 0.5  $\mu\text{m}$  openings” was relied upon for allowance of the application resulting in U.S. Patent No. 5,422,376. Failing to find such evidence, the Examiner instead submits that declarations filed by Appellant on September 12, 2000 during the reissue proceedings are evidence of a “factor considered in the patentability of the parent application.” Appellant disagrees.

The declarations referred to by the Examiner do not indicate that a final filter having 0.5  $\mu\text{m}$  openings is required for operation of the invention. Claim 13 does comprise a limitation requiring that the composition be free of harmful particulate matter and gels greater than 0.5  $\mu\text{m}$  in diameter. Claim 13 also requires that a filtration step is required to produce such compositions. Removal of the phrase “the series including a final filter having 0.5  $\mu\text{m}$  openings” does not contradict the limitation requiring that the composition be free of harmful particulate matter and gels greater than 0.5  $\mu\text{m}$  in diameter, as the Examiner contends. Instead, it merely removes the limitation requiring that a 0.5  $\mu\text{m}$  filter be the final filter in a series of filters.

In sum, Appellant again asserts that there is no indication in the ‘376 patent prosecution record that the Examiner or Appellant relied on the deleted claim 13 limitation (specifying the location of a 0.5  $\mu\text{m}$  filter in a series of filters) for patentability. Appellant’s claims continue to recite a limitation requiring the claimed compositions to be free of harmful particulate with greater than 0.5  $\mu\text{m}$  in diameter.

#### **B. The Specification Supports Claims 13-23 under 35 U.S.C. § 112**

Appellant reasserts the arguments made with respect to claims 13-23 in the Appeal Brief. Claims 13-23 are clearly supported by the specification and meet all requirements for patentability under 35 U.S.C. § 112, first paragraph. The

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specification clearly notes that a filtration step is necessary and gives at least one example of a series of filters that may be used. Thus, the specification clearly supports the claim 13 limitation of "filtering the gel through a series of filters."

The specification clearly supports claims 13-23 and Appellant again asserts that these claims were not properly rejected under 35 U.S.C. § 112.

#### **IV. CONCLUSION**

For the above reasons, and for the reasons set forth in Appellant's Appeal Brief, Appellant believes that the issues identified above must be resolved in favor of Appellant.

Appellant believes no further fee is due. However, in the event further fees are due, the Commissioner is authorized to charge any fees which may be required or to credit any overpayment to Deposit Account No. 01-0682 in the name of Alcon, Inc.

Respectfully submitted,

November 11, 2009  
Date

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